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1 **Comparative Genomic Analysis of *Lactobacillus mucosae* LM1 Identifies**
2 **Potential Niche-Specific Genes and Pathways for Gastrointestinal**
3 **Adaptation**

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21
22
23 **Short title:** Gut Mucosa-adherent *Lactobacillus mucosae* LM1

24



25 **Abstract**

26 *Lactobacillus mucosae* is currently of interest as putative probiotics due to their metabolic
27 capabilities and ability to colonize host mucosal niches. *L. mucosae* LM1 has been studied in
28 its functions in cell adhesion and pathogen inhibition, etc. It demonstrated unique abilities to
29 use energy from carbohydrate and non-carbohydrate sources. Due to these functions, we report
30 the first complete genome sequence of an *L. mucosae* strain, *L. mucosae* LM1. Analysis of the
31 pan-genome in comparison with closely-related *Lactobacillus* species identified a complete
32 glycogen metabolism pathway, as well as folate biosynthesis, complementing previous
33 proteomic data on the LM1 strain. It also revealed common and unique niche-adaptation genes
34 among the various *L. mucosae* strains. The aim of this study was to derive genomic information
35 that would reveal the probable mechanisms underlying the probiotic effect of *L. mucosae* LM1,
36 and provide a better understanding of the nature of *L. mucosae* sp.

37

38 **Keywords**

39 *Lactobacillus mucosae*, Comparative genomics, Probiotics

40



41 1. Introduction

42 *Lactobacillus* spp. are members of the family *Lactobacillaceae* and are generally low-GC-
43 content, non-motile, non-spore-forming, anaerobic bacteria. The members of this genus are
44 subgrouped by their carbohydrate fermentation profiles, namely, obligately homofermentative,
45 facultatively heterofermentative, and obligately heterofermentative. The genus *Lactobacillus*
46 is a member of the lactic acid bacteria (LAB) group that plays a key role in the gastrointestinal
47 tract [1]. It belongs to *Firmicutes*, which is a major mucosa-associated phylum of the gut
48 microbiota, especially in the pars nonglandularis [2]. The genus *Lactobacillus* reportedly exerts
49 beneficial effects on human and animal health [1,3,4].

50 *Lactobacillus mucosae* was first identified by Roos *et al.* [5] using the mucus-binding
51 (MUB) gene from *Lactobacillus reuteri* as a probe. Initial research on *L. mucosae* revealed its
52 ability to adhere to pig mucus [5]. In humans, *L. mucosae* represents one of the strongly
53 mucosa-associated subpopulations of the intestinal microbiota, together with *Lactobacillus*
54 *gasseri* and *Bifidobacterium breve* [6]. Its tight association with the mucosal layer and its
55 presence in other mucosal niches, such as the human cervix and vagina, demonstrate the
56 affinity of *L. mucosae* for mucin components and the host mucosal layer [7].

57 *L. mucosae* LM1 has various probiotic traits; for example, acid and bile tolerance, which
58 facilitates survival during transit through the gastrointestinal tract [8,9], production of
59 antibacterial substances against various Gram-positive and -negative pathogens [8], adhesion
60 to intestinal epithelial cells [10], and inhibition of enteropathogens *in vitro* [8,10]. Its ability to
61 aggregate, adhere to mucus, and inhibit adhesion of pathogens are prerequisites for
62 competitiveness in, and transient colonization of, the host gut [10].

63 A draft genome sequence of LM1 [11] revealed the presence of numerous adhesion genes.
64 To characterize its probiotic properties, the complete genome of LM1 was sequenced, which
65 is a first for an *L. mucosae* strain, and compared with those of other draft genomes of *L.*



66 *mucosae* strains, and of the completed genomes of the closely associated species *L. reuteri* and
67 *Lactobacillus fermentum*. The data will enable assessment of the biotechnological and
68 probiotic potential of this *L. mucosae* strain and, likewise, provide a foundation for
69 understanding *L. mucosae* species for further applications.

70

71 **2. Results and Discussion**

72 *2.1. Genome Features*

73 After resequencing, reannotation, and reassembly, the *L. mucosae* LM1 complete genome
74 was 2.43 Mbp, with a 2.33 Mbp circular chromosome (46.13% GC ratio) (**NZ_CP011013.1**)
75 and a 0.1 Mbp extrachromosomal plasmid, pLM1 (**NZ_CP011014.1**). A total of 2,161 open
76 reading frame (ORF) genes were predicted from the circular chromosome, with 1,998
77 protein-coding genes, 24 rRNA genes, 90 tRNA genes, and 49 pseudogenes. From the plasmid
78 pLM1, 114 ORFs were identified, comprising 111 protein-coding genes, 1 tRNA gene, and 2
79 pseudogenes (Table S1). A total of 1,623 proteins (70.93%) were assigned functions based on
80 the functional COG categories of the whole genome. A previous study of the draft genome
81 sequence of LM1 failed to identify the plasmid incorporated in the LM1 genome. Annotation
82 of plasmid pLM1 showed that COG functions involving replication, recombination, and DNA
83 repair were the most abundant, and phage replication components (DNA polymerase III and
84 DNA helicase) were also identified. Further study is required to understand the functional
85 mechanisms of this plasmid.

86 The COG functions of the genes are presented in Table S2. Genes involved in replication,
87 recombination, and repair (8.49%) were most abundant, followed by amino acid transport and
88 metabolism (7.73%), translation, ribosomal structure, and biogenesis (6.35%), transcription
89 (5.60%), carbohydrate transport and metabolism (5.55%), and cell wall/membrane biogenesis



90 (5.03%). The functional enrichment of such ORFs in the genome suggests that *L. mucosae*
91 LM1 is able to adapt to harsh environments, such as the human gastrointestinal tract [12-15].

92 Therefore, we further analyzed three factors that may contribute to probiotic activity: (1)
93 genes involved in stress resistance and maintenance of cell-envelope integrity, (2) genes that
94 mediate signaling and regulatory mechanisms, and (3) adherence and cell-surface factors that
95 mediate colonization and bacterium–host crosstalk [16]. A list of potential probiotic genes
96 present in strain LM1 presented previously [8,11] and updated in this study are also included
97 as supplementary Table S3. Such traits are important for the ability of probiotic
98 microorganisms to compete with harmful bacteria, modulate the host immune system, and
99 regulate the gut microbiota [4].

100

101 2.2. *L. mucosae* LM1 plasmid (pLM1)

102 The plasmid replicon pLM1 (Figure 1C) has a predicted incomplete 18.8 kb prophage
103 region (at positions 20,613–39,427), which contains phage replication elements such as a
104 recombinase and a phage tail fiber protein (Figure S2). It is found within the *oriC* region (*rnpA*-
105 *rmpH-dnaA-dnaN-recF-gyrB-rnpA* gene cluster) [17], adjacent to *dnaA* (LBLM1_RS00005).
106 The conserved *dnaA* box clusters, *dnaN* (LBLM1_RS10815) and *recF-gyrB*
107 (LBLM1_RS10805-LBLM1_RS10800), flank the predicted pLM1 sequence, which suggests
108 incorporation of pLM1 within the putative chromosomal *oriC* site. This plasmid also contains
109 prophage elements, suggesting that it is a phage-plasmid. Plasmids that also function as phages
110 have been reported in other bacteria including *Streptomyces* [18], *E. coli* [19,20]. However,
111 whether this is the case in strain LM1 will require further investigation.

112 Whole sequence BLAST analysis of this plasmid region showed no significant similarity
113 with other plasmids; however, specific sections aligned at an e-value of $4e^{-92}$ to chromosomal
114 regions of *L. amylolyticus* L6 complete genome (76% identity), at $2e^{-15}$ to chromosomal



115 regions in the complete genomes of *L. fermentum* strains and *L. oris* J-1 (92-94%), and,
116 likewise, at e-values of 3e-14 to *Leuconostoc mesenteroides* plasmids (78-79%). These regions
117 are annotated as either hypothetical proteins or phage integrases. Annotated ORFs also include
118 mostly genes involved in replication, recombination and repair, such as deoxynucleoside
119 kinases which were deemed important deoxynucleoside salvage pathways for DNA precursor
120 biosynthesis in lactobacilli [21].

121

122 2.3. Genomic Islands

123 The identified genomic islands (GIs) and their genes are summarized in Table S3, with 68
124 predicted IS elements (File S1). The highest similarity of IS elements was with IS30 (12 hits),
125 which is present in various *Lactobacillus* spp., including *Lactobacillus plantarum* [22].
126 Furthermore, the presence of an IS30-related element in *L. plantarum* is associated with
127 genome plasticity and environmental adaptation, due to acquisition of putative genes such as
128 those involved in sugar utilization [23], which likely assists gut colonization.

129 A total of 12 GIs, which are of probable horizontal transfer origin, were identified in the
130 LM1 genome (Figure 1B). Using PHAST, three prophage elements were identified in the
131 chromosome of LM1 (Figure 1B and Figure S1), where GI region 3 (GI-3) incorporates
132 prophage 1 (P1). GI-4 coincides with prophage 2 (P2), and GI-9 and -10 with prophage 3 (P3).

133 The only intact prophage region (region 2) resembled Bacill_BalMu_1 (NC_030945),
134 which is 32.02 kilobases (kb) in size. Non-phage elements in this region included ORFs
135 involved in putative functions such as copper and potassium homeostasis, lipoprotein
136 biosynthesis, and a phosphate transcriptional regulon may play a role in osmotic adaptation
137 and stress signaling [24,25]. Interestingly, among the *L. mucosae* strains, this prophage region
138 was found only in LM1. Additionally, the prophages detected seem to create some genomic



139 variations among the *L. mucosae* strains. However, non-phage elements in these regions are
140 hypothetical proteins and are, thus, unknown.

141 Two exopolysaccharide (EPS) operons were identified within GI-2 and GI-12. GI-2 EPS
142 is complemented by two glycosyl transferases, which are involved in the production of
143 glycosaminoglycan (GAG) and chondroitin sulfate. *L. mucosae* DPC 6426 produces EPS with
144 mannosyl residues [26]. However, whether LM1 produces EPS or not has yet to be determined.

145

146 2.4. Self-defense and Immune Systems

147 Restriction-Modification (RM) systems and Clustered Regularly Interspaced Short
148 Palindromic Repeats (CRISPR) associated with CRISPR-associated genes, are some
149 mechanisms by which bacteria resist phage infections [27]. Both are compatible, wherein the
150 CRISPR-Cas system also cleaves invading DNA that were methylated by RM systems [28]. In
151 *L. mucosae* LM1, only a few genes associated to type I and type III restriction endonuclease
152 systems were found; whereas, two CRISPR-Cas loci (type I and type II), were detected in
153 regions 1,305,291-1,315,478 bp, with 29 direct repeats (DRs) and 13 spacers, and 1511616-
154 1514090 bp, with 36 DRs and 37 spacers. The information processing subsystem Cas1
155 (LBLM1_RS06065) and Cas2 (LBLM1_RS06060) genes are present in the first region along
156 with the cascade complex component Cas3 (LBLM1_RS06095) gene. On the other hand, a
157 putative Cas9 gene (LBLM1_RS07010) is found within the second region.

158

159 2.5. Phylogenetic Analysis

160 The carbohydrate metabolism of LM1 is similar to that of the type strain *L. mucosae* S32^T
161 (DSM 13345), in terms of utilization of carbohydrates such as glucose, ribose, D-xylose,
162 galactose, aesculin, melibiose, D-raffinose, and gluconate [5,8]. *L. mucosae* strains belong to
163 the obligately heterofermentative group, together with one of the major *Lactobacillus*



164 phylogenetic groups, the *L. reuteri* group, based on their 16s rRNA gene sequences (Figure
165 S3). The 16S rRNA sequences of the *L. mucosae* strains clustered with *L. fermentum* IFO 3956,
166 *Lactobacillus pontis* LTH 2587, and *L. reuteri* JCM 1112, in agreement with a previous report
167 [5], but in a different branch from these species, as confirmed by ANI analysis. A discrepancy
168 with closely related species such as *L. fermentum* and *L. reuteri* strains was detected (Figure
169 2), as evidenced by a < 72% ANI value by 16s rRNA gene sequencing. All *L. mucosae* strains
170 studied here were phylogenetically similar by 16s rRNA gene sequencing. Further, a species
171 cut-off of 95% [29] was confirmed for all *L. mucosae* strains, with the rumen isolate strains
172 KHPC15, KHPX11, and WCC8 showing lower ANI values than those of the type strain *L.*
173 *mucosae* S32^T and LM1.

174 According to the ANI values, the complete genome of LM1 was most similar (97.72%) to
175 that of the *L. mucosae* type strain S32^T (DSM 13345), which was also isolated from swine
176 intestine [5], followed by DPC 6426 from the bovine gastrointestinal tract (97.37%) [30],
177 Marseille from a patient with Kwashiorkor (97.32%) [31], and the mannitol-producing strain
178 CRL 573 (96.00%), which was isolated from child feces [32]. Such dissimilarities may be due
179 to host-specific strain diversity and niche adaptation. Next, LM1 was subjected to a
180 comparative genomic analysis with the other *L. mucosae* strains as well as *L. reuteri* and *L.*
181 *fermentum*.

182

183 2.6. Comparative genomic analysis of *L. mucosae* LM1

184 2.6.1. Comparison of LM1 with other *L. mucosae* strains

185 Initially, the sequencing quality and completeness of the draft genomes may have
186 contributed to the differences in contig assembly among the *L. mucosae* strains. However, after
187 re-aligning and re-assembling the contigs using MAUVE with the complete genome of LM1,
188 comparison among the strains was possible as demonstrated by the genome-scale dotplots



189 (Figure S4), with internal conserved blocks and homologous genes considered for comparison
190 among the *L. mucosae* strains.

191 Analysis of genome-scale dotplots using the complete LM1 genome as a reference (Figure
192 S4) indicated general synteny among the *L. mucosae* strains. However, the *oriC* positions
193 varied among these strains, as shown in the whole genome MAUVE alignment (Figure 3);
194 specifically, only LM1 had a plasmid (pLM1) prophage within the putative *oriC* site.
195 Numerous chromosomal insertions, arrangements, and inversions were also detected by
196 MAUVE alignment (Figure 3). Interestingly, even the *L. mucosae* strains KHPC15 and
197 KHPX11, which originated from the same host (ruminant) and had perfect synteny (ANI value,
198 100%), showed a strain-specific chromosomal topology; an ~ 81 kb chromosomal inversion
199 was present at positions 724,730–809,682 in KHPC15 and 698,767–783,145 in KHPX11
200 (Figure S5). The variations were found to be due to the presence of numerous IS elements;
201 indeed, prophage elements were identified in the genome of LM1 (see section 2.3).

202 Putative integrases related to *Staphylococcus* pathogenicity islands (SaPIs), such as
203 LBLM1_RS02825, LBLM1_RS03880, LBLM1_RS05565, and LBLM1_RS07345, were
204 found in the LM1 genome with specific phage elements. SaPIs reportedly have an intimate
205 relationship with temperate phages, allowing these genomic islands to be encapsidated into
206 small phage heads [33]. Of note, the integrase ORF LBLM1_RS02825 was part of P1, which
207 was predicted by MAUVE alignment to have a conserved region that was likely translocated
208 among the *L. mucosae* strains, with the exception of Marseille and DPC 6426. Further, a
209 chromosomal inversion of the conserved block was present in KHPX11 (Figure 3 and Figure
210 S5). In Figure 3, color blocks 17 to 21 in KHPX11 and KHPC15 show the presence of P1
211 (block 18) between blocks 17 (blue) and 19, which are adjacent to each other in the other *L.*
212 *mucosae* strains. The presence of transposases, including LBLM1_RS03940,
213 LBLM1_RS03945, and LBLM1_RS04210 (putative transposases in the *snaA-snaB* intergenic



214 region), may have a considerable impact on the functionality and expression of the genes
215 involved with these transposable elements in LM1. Interestingly, genes in these blocks are
216 essential for colonization of, and survival in, the gastrointestinal tract. These include a major
217 facilitator superfamily (MFS) transporter, which is implicated in bile tolerance [34]; enzymes
218 involved in the maltose and glycogen metabolic pathways [35] and in the mevalonate pathway
219 [36], which may contribute to sugar utilization adaptation; and cell-surface protein-coding
220 genes, such as choline-binding proteins and penicillin-binding proteins, which are implicated
221 in adhesion [37] and maintaining peptidoglycan under bile stress [38], respectively. Genes in
222 the inverted genome blocks of LM1 are summarized in Table S4.

223 A rearrangement of a large genomic region at positions 971,200–1,116,880 and 576,650–
224 613,070 in LM1 was detected by comparison with those of S32^T (485,600–628,245 and
225 980,305–1,019,760 of S32^T, respectively) (Figure 3). Putative genes in this region also include
226 P1 in the latter region, and insertional elements annotated as IS905 transposases with sequence
227 homology to IS256 (LBLM1_RS02185 and LBLM1_RS02260), and this set of transposed
228 regions is also present in S32^T. In the LM1 genome, internal transposases and transposons are
229 also present in the former region, which may increase the plasticity of these conserved genomic
230 blocks. Interestingly, a MUB (LBLM1_RS04610) is present in this region, and may be
231 transported through a proximally-located Sec translocation system (LBLM1_RS04640-
232 LBLM1_RS04660). Further, production of metabolic energy by malolactic fermentation may
233 be mediated by the malate/lactate antiporter (LBLM1_RS04685) in this region, similar to *L.*
234 *plantarum* [39]. Other orthologous genes involved in tolerance to acid, bile, and oxidative
235 stress were also found in this region. The conserved orthologous genes in *L. mucosae* LM1
236 also present in the rearranged genome blocks of KHPX11 and KHPC15 are summarized in
237 Table S5.



238 A prior tandem proteogenomic analysis and reconstruction of the metabolic pathways of
239 LM1 [15] provided insight into the mechanisms by which *L. mucosae* tolerates environmental
240 stress, maintains cellular integrity, and adheres to mucosal components [14,40]. Acidic and
241 oxidative stress result in activation of cell membrane biosynthesis and repair of the
242 peptidoglycan layer. Moreover, upregulation of carbohydrate metabolic enzymes such as
243 glycosyl hydrolase family 65 protein (*GSH65*; LBLM1_RS04255), xylulose-5-
244 phosphate/fructose-6-phosphate phosphoketolase (*Xfp*; LBLM1_RS0385), and 6-
245 phosphogluconate dehydrogenase (*6PGDH*; LBLM1_RS00305), enables use of various
246 carbohydrates, such as maltose and trehalose. *GSH65* is adjacent to P1 in block 17, which is
247 involved in maltose and glycogen metabolism.

248 The absence of ATP-dependent 6-phosphofructokinase (*PfkA*) and FAD-dependent
249 pyridine nucleotide-disulfide oxidoreductase (*DhnA*) from the *L. mucosae* genomes suggests
250 that *Xfp* activity may be important for redirection of energy production via the phosphoketolase
251 pathway [41]. Use of other carbon sources was suggested by the presence of other enzymes,
252 such as β -glucosidase (LBLM1_17760), glucan 1,3- β -glucosidase (LBLM1_17810), and
253 pectinesterase (LBLM1_04470). Upregulation of *CitT* (LBLM1_00930) facilitates citrate
254 uptake, which generates malate and succinate, important byproducts that can be used as an
255 energy source by host intestinal cells [15]. These findings provide evidence for the mechanisms
256 that allow LM1 to survive in carbohydrate-depleted environments.

257

258 *2.6.2. Pan-genomic analysis of LM1 with other L. mucosae strains and closely related*
259 *Lactobacillus species*

260 *2.6.2.1. Glycogen metabolism*

261 To confer beneficial effects on the host, the viability and prolonged retention of probiotics
262 in the gut are important. Therefore, genes related to stress tolerance and starvation, colonization,



263 and gut persistence were analyzed. Interestingly, a complete glycogen metabolism (*glg*) operon
264 was present in the LM1 genome (Figure 4). The glycogen biosynthetic pathway is involved in
265 energy production [42], environmental stress tolerance [43,44], biofilm formation [45], and
266 colonization and environmental persistence [35,46-48]. Glycogen is used by bacteria to store
267 carbohydrates and enable colonization of diverse niches [49,50]. Although the complete *glg*
268 operon is also present in some *Lactobacillus* spp. from mammalian and natural environments
269 [51,52], it was incomplete in other *L. mucosae* strains, and absent from *L. reuteri* JCM 1112
270 and *L. fermentum* IFO 3956 (Figure 3).

271 Furthermore, activation of the *glg* operon during early stationary phase growth of *L.*
272 *mucosae* LM1 [15] suggests involvement in mucosa-associated, niche-specific adaptation,
273 which provides ecological and competitive advantages. The reason for the absence of this
274 operon in some isolates from mammalian hosts is unclear, but may be due to their preferences
275 for other carbohydrates for carbon storage.

276

277 2.6.2.2. Folate biosynthesis in *L. mucosae* species

278 Comparison of the genomes of *L. mucosae* LM1 and *L. fermentum* IFO 3956 revealed a
279 folate producer, indicating that LM1 can synthesize folate, which is an essential cofactor in all
280 living cells [53]. LM1 exhibits a complete folate biosynthetic pathway (Figure 5) and uses
281 para-aminobenzoic acid, an intermediate of folate biosynthesis, via the shikimate pathway [54].
282 This gene set was also found in *L. reuteri* JCM 1112, but this strain lacks dihydrofolate
283 synthase/folylpolyglutamate synthase (*folC*) and dihydrofolate reductase (*dfrA*), which are
284 essential for producing tetrahydrofolate. Folate produced in the intestine by lactic acid bacteria
285 is used by the colonic epithelium, and prevents inflammation and colonic cancer [55].
286 *Lactobacillus delbrueckii* subsp. *bulgaricus*, another folate producer, is added to dairy products



287 to increase their folate content [56]. The conditions under which LM1 produces folate should
288 be the focus of further studies.

289

290 2.6.3. Neopullulanase and its prebiotic functions

291 Genome mining of LM1 revealed genes encoding α - and β -galactosidases, β -
292 glucuronidases, β -fructosidases (levansucrases), and α -amylases, which are involved in the
293 production of prebiotic substances. We isolated an α -amylase gene (LBLM1_RS010140) and
294 found that it encoded a neopullulanase. Overexpression of this gene in *E. coli* showed that the
295 neopullulanase can degrade pullulan to generate the prebiotic panose, an
296 isomaltooligosaccharide used by beneficial intestinal bacteria [57]. This was the first
297 neopullulanase cloned from lactic acid bacteria, with others cloned and characterised from
298 in *Bacillus* sp. [58,59] and *Bacteroides thetaiotaomicron* 95-1 [60]. Interestingly, BLAST
299 analysis of the LM1 neopullulanase gene aligns with high identity and similarity to the other
300 *L. mucosae* alpha-glycosidases (>97%), but only around 78% query coverage to the
301 neopullulanase found in rumen isolates, but still at a high identity. Significant hits with alpha-
302 glycosidases and neopullulanases in other *Lactobacillus* sp. shows <69% identity, and lower
303 coverage, showing some diversity of this enzyme among LAB. Nevertheless, the ability of
304 LM1 to produce panose, and its presence in many *L. mucosae* strains suggests the utility of *L.*
305 *mucosae* strains as a prebiotic-producing-probiotic, as most possess this gene [61].

306

307 2.6.4. Cell surface adhesins and other adhesion factors that may mediate host-microbe cross 308 talk

309 Bacterial adhesion to specific glycoprotein receptors in mucus is important because mucus
310 functions as a natural barrier to protect gut epithelial cells. Lee *et al.* [11] identified a MUB
311 homologue in the LM1 genome, and LM1 reportedly has an affinity for glycoreceptors on



312 porcine intestinal epithelial cells (IPEC-J2) [10]. Although most *L. mucosae* genomes contain
313 a MUB homologue [5,11,31], *L. mucosae* CRL 573 and DPC 6426 possess a truncated form
314 [30,32], which has high homology with a mucin-binding protein (MucBP) domain. Other
315 adhesins may facilitate adhesion to gut epithelial cells; *e.g.*, putative collagen-binding proteins
316 and fibronectin-binding protein in DPC 6426 [30].

317

318 BLASTp analysis of the complete genome of LM1 also identified MucBP proteins
319 (LBLM1_RS02150 and LBLM1_RS04140); these are glycosylated streptococcal protein B
320 (GspB) homologues with affinity for sialic acid residues in mucins [62]. The GspB platelet-
321 binding protein from *Streptococcus gordonii* is glycosylated in the serine-rich SSR1 and SSR2
322 regions with glucose, N-acetylglucosamine, and to a lesser extent galactose and N-
323 acetylgalactosamine [63].

324 MucBP domain searching revealed the presence of two putative internalin-like proteins
325 (LBLM1_RS07130 and LBLM1_RS06500), which have high homology with mucus-binding
326 domains, suggesting some functional similarities. These internalin-like proteins have an
327 LPXTG motif, and their amino acid sequence is similar to that of the leucine-rich repeat-
328 containing proteins of *Listeria monocytogenes* and the MucBPs of other *Lactobacillus* spp.
329 Von Ossowski *et al.* [64] identified an ORF (LGG_02337), which was previously annotated as
330 Internalin J, in the commercial probiotic *L. rhamnosus* GG. The purified form of this surface
331 protein readily adhered to human intestinal mucus. Further, this protein has an L-type lectin
332 domain, suggesting that it mediates adhesion to glycoreceptors on the surface of host cells.

333 A 2.2 kb operon that showed similarity and synteny with the mucus adhesion-promoting
334 protein (*mapA*) operon (AJ293860.1) from *L. reuteri* [65] is present in the draft genome
335 sequence of LM1 [8]. MapA is an adhesion protein that is degraded into an antimicrobial



336 peptide [65]. The ORFs in this operon, which correspond to the *MapA* region, include
337 LBLM1_RS05045 to LBLM1_RS05055.

338 Lam29, an adhesin-like protein specific to blood group A and B antigens, was originally
339 identified in *L. mucosae* ME-340 [66,67]; homologues of this protein are present in LM1
340 (LBLM1_RS02430) and other *L. mucosae* strains. These should be the subject of further
341 studies on the interaction of *L. mucosae* strains with the host.

342

343 2.6.5. Core genome of *L. mucosae* strains and closely related *Lactobacillus* species

344 Large areas in the heat map in Figure 6 delineate the orthologous and paralogous
345 differences among the genomes of the species and strains analyzed in this study. The presence
346 of horizontally transferred genomic islands and prophages, such as P1/GI-3 and P3/GI-9 to
347 GI-11, are major differences among the strains and species. However, a core genome
348 functionally rich in various metabolic pathways, such as proteins, amino acids and derivatives,
349 carbohydrates, cofactors, vitamins, prosthetic groups, and pigments (Figure S6) provides a
350 competitive advantage to *L. mucosae* and likely other gut bacteria by enabling production of
351 diverse metabolites. In addition, the common pathways in *L. mucosae* spp., *L. reuteri*, and *L.*
352 *fermentum* suggest the potential use of *L. mucosae* as a probiotic and as a component of
353 synbiotics.

354 In conclusion, our comparative analysis of the complete genome of *L. mucosae* LM1,
355 which is the first to be performed on *L. mucosae*, with other *L. mucosae* strains and closely
356 related species enhances our understanding of its role in the gut and the mechanisms by which
357 it colonizes and persists therein. Identification of the folate and glycogen biosynthetic pathways
358 and alternative energy routes suggested the means by which *L. mucosae* survives in the harsh
359 environment of the gut. It is interesting to observe that in comparison to the closest complete
360 genome representative strains of *L. fermentum* sp. and *L. reuteri* sp., *L. fermentum* utilizes



361 specific pathways present in *L. fermentum* that *L. reuteri* lacks or is incomplete in. In this
362 respect, along with the ANI values, *L. mucosae* seems to be more genotypically closer and
363 functionally similar to *L. fermentum* compared to *L. reuteri*, despite having common essential
364 genes such as the MUB gene. These pathways are present in analyzed *L. mucosae* strains and
365 are, therefore, deemed common among them. Furthermore, genes encoding adhesion and
366 colonization factors likely enhance gut persistence and competitiveness, which also seem
367 common among the *L. mucosae* strains. These factors are associated with the beneficial effect
368 of LM1, which requires establishment in, and symbiosis with, the host.

369

370 **3. Materials and Methods**

371 *3.1. Growth conditions and genomic DNA preparation*

372 *L. mucosae* LM1 was grown in 5 mL de Man–Rogosa–Sharpe (MRS) broth (Difco, Pont-
373 de-Claix, France) at 37°C under static conditions for 24 h. Genomic DNA was isolated using
374 the Qiagen Genomic DNA Extraction Kit (Hilden, Germany) according to the protocol
375 provided by the manufacturer. DNA quality was verified by agarose gel electrophoresis, and
376 DNA concentration and purity (A_{260}/A_{280}) were measured using an Optizen Nano Q (Mecasys,
377 Daejeon, Korea).

378

379 *3.2. Genome sequencing and assembly*

380 The draft genome sequence of *L. mucosae* LM1 was determined to enable genome mining
381 of probiotic genes (Table S3) [11]. The whole genome shotgun (WGS) project was deposited
382 in the GenBank database under the WGS sequencing project accession number
383 **AHIT00000000.1** in February 2012. It was superseded by the GenBank accession records,
384 **CP011013.1–CP011014.1**, which were used to generate the comparative genomics data



385 presented here. Complete genome sequencing was performed by ChunLab, Inc. (Seoul, Korea),
386 and a more detailed and updated analysis of the data is presented in this article.

387 The complete genome of *L. mucosae* LM1 was sequenced on 454 Titan PE 8K, Illumina
388 100 PE, and PacBio 10K sequencing platforms, and yielded 16.31× (200,748 reads), 1002.38×
389 (22,807,602 reads), and 149.19× (150,292 reads) coverage, respectively, for a total sequencing
390 depth of 1167.89×. Genome assembly was performed using Roche gsAssembler 2.6, CLC bio
391 CLC Genomics Workbench 6.5, and SMRT Analysis 2.0.0, and gap closing was performed
392 using CodonCode Aligner 3.7. The final assemblies resulted in two contigs with a total length
393 of 2.43 megabase pairs (Mbp; 46.13% GC ratio).

394

395 3.3. Genome annotation

396 Coding sequences (CDS) were predicted by Glimmer 3.02 [68]. Transfer RNAs (tRNAs)
397 were identified by tRNA-Scan-SE [69], and ribosomal RNAs (rRNAs) were searched using
398 HMMER with the EzTaxon-e rRNA profiles [70,71]. The predicted protein CDSs were
399 compared to the Catalytic Families (CatFam) and NCBI Clusters of Orthologous Groups
400 (COGs) databases by rpsBLAST and to the NCBI Reference Sequences (RefSeq) and SEED
401 databases by BLASTp for functional annotation [72-75]. Restriction-modification (R-M)
402 system and the CRISPR-Cas loci were predicted from these annotations. Following, CRISPR
403 direct repeats (DRs) and spacers were identified using CRISPRFinder ([http://crispr.i2bc.paris-](http://crispr.i2bc.paris-saclay.fr/)
404 [saclay.fr/](http://crispr.i2bc.paris-saclay.fr/)) [76-78].

405 Prophage regions were predicted, and figures were generated, using PHAST
406 (<http://phast.wishartlab.com>) [79]. As submitted to NCBI, each contig, one for the chromosome
407 and one for the plasmid, was subjected to analysis using PHAST to predict the completeness
408 of the prophage regions, and to identify and map their elements. Insertion sequence (IS)
409 elements were predicted using IS Finder software (http://issaga.biotoul.fr/issaga_index.php)



410 [80]. Genomic islands were predicted using IslandPath and SIGI-HMM, which is part of
411 IslandViewer4 [81], to identify regions with evidence of horizontal transfer.

412 The subcellular localization of proteins was predicted using SignalP 4.1
413 (<http://www.cbs.dtu.dk/services/SignalP>) [82] and TMHMM 2.0
414 (<http://www.cbs.dtu.dk/services/TMHMM>) [83]. Lipoproteins were identified among the latter
415 using LipoP 1.0 (<http://www.cbs.dtu.dk/services/LipoP>) [84].

416

417 3.4. Phylogenetic Inference and Average Nucleotide Identity (ANI) Analysis

418 Using the 16s rRNAs from the complete genomes of representative strains, a phylogenetic
419 tree was constructed by the neighbor-joining method [85], using molecular evolutionary
420 genetics analysis (MEGA) 7.0 software [86]. The tree included 21 representative *Lactobacillus*
421 strains and *Bifidobacterium breve* as an outgroup, in comparison with the eight *L. mucosae*
422 strains with available genomes in the NCBI database.

423 Average nucleotide identity (ANI) analysis was performed to determine the relationship
424 of *L. mucosae* species with other species as predicted by 16s rRNA analysis, and to evaluate
425 the species cut-off (< 95%) among the *L. mucosae* strains included in this comparative analysis.
426 ANI values were predicted using the ANI calculator available on the EzGenome website,
427 provided by Chunlab, Inc. [87].

428

429 3.5. Comparative Genomics

430 MAUVE software was used to reassemble the contigs of the draft *L. mucosae* genomes
431 available in the NCBI database using the complete genome of *L. mucosae* LM1 as a reference
432 [88]. The draft genomes included the strains CRL573 ([NZ JROC00000000.1](#)), DPC 6426
433 ([NZ JSWI00000000.1](#)), Marseille ([NZ CVQW00000000.1](#)), and the type strain S32^T, also
434 known as *L. mucosae* DSM 13345 ([NZ AZEQ01000089.1](#)) [49]. We also included the newly



435 available draft genomes of *L. mucosae* strains KHPX11 ([NZ FOSI00000000.1](#)), KHPC15
436 ([NZ FNZY00000000.1](#)), and WCC8 ([NZ FNIH00000000.1](#)), which were submitted as part
437 of the WGS sequencing project of the Department of Energy Joint Genome Institute. After
438 reassembly, whole-genome sequencing alignments were performed using progressiveMauve
439 [89], annotated, and analyzed. Dot plot matrices were generated using these reassembled
440 contigs against the complete genome of LM1 using Gepard software [90]. The MAUVE and
441 Gepard alignments predict chromosomal rearrangement events such as insertion, inversion,
442 and translocation, and combine them with the data from IS Finder and PHAST. Further, genetic
443 comparisons of the completed LM1 genome with other published *L. mucosae* draft genomes
444 were performed by all-vs.-all BLAST and HMMER searching of Pfam domains.

445 Additionally, comparative genomics was performed based on the bioinformatics pipeline
446 and protocol of Chun *et al.* [91]. The complete genomes of the closely related strains *L.*
447 *fermentum* IFO 3956 ([NC 010610.1](#)) and *L. reuteri* JCM 1112 ([NC 010609.1](#)) [92] were
448 compared with that of *L. mucosae* LM1. Two *L. mucosae* draft genomes, strains CRL 573 and
449 DPC 6426 from bovine gut, were included in the comparison of whole genome sequences with
450 *L. fermentum* and *L. reuteri*. ANI values were used to produce a dendrogram using the
451 unweighted pair group method with arithmetic averages (UPGMA). Homologous regions
452 between the compared genomes were determined using the BLASTN software built-in to
453 CLGenomics™, and aligned using a pairwise global alignment algorithm [93]. From this, the
454 core genome was extracted and re-annotated for common functional groups using the RAST
455 server [94].

456 **Competing Interests**

458 The authors declare that there are no competing interests.

459



460 **Authors' Contributions**

461 VDV performed comparative genomics and drafted the manuscript. DKK designed research
462 and project outline, and finalized the manuscript. JKO, BBB and HK performed genome
463 analysis. All authors have read and approved the final manuscript.

464

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ACCEPTED MANUSCRIPT

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LIST OF FIGURES

Figure 1. Genome maps of *Lactobacillus mucosae* LM1. A) Chromosomal map showing the various features of the complete *L. mucosae* LM1 genome. Circles illustrate the following from outermost to innermost rings: (1) rRNA/tRNA; (2) Reverse CDS; (3) Forwards CDS; (4) GC ratio; and (5) GC Skew. OriC region is located at the 0 Mbp region, where the minimum GC skew and the dnaA gene is found. B) Genomic islands and prophage regions in the chromosome of *L. mucosae* LM1. The colored shapes orange blue and red determine the predicted genomic islands as identified by SIGI-HMM (orange) and IslandPath-DIMOB (blue), and red showing the integrated genomic island search results. where In red boxes also show the prophage regions (P1, P2 and P3) identified by PHAST. C) Plasmid pLM1 depicting incomplete prophage detected by PHAST (pP1). Circles illustrate the following from outermost to innermost rings: (1) Reverse CDS; (2) Forwards CDS; (3) GC ratio; and (4) GC Skew. Putative prophage region is indicated as pP1.

Figure 2. Heatmap showing relative Average Nucleotide Identity (ANI) between species. Proposed species cut-off boundary is around 95-96%, showing difference with the closest *Lactobacillus* species, *L. fermentum* and *L. reuteri*. Numbered values indicate representative strains of selected *Lactobacillus* species as follows: 1-8 (*L. mucosae*); 9-11 (*L. fermentum*); 12-14 (*L. reuteri*); 15-16 (*L. gasseri*); 17-19 (*L. johnsonii*); 20 (*L. plantarum*); 21 (*L. rhamnosus*); 22-23 (*L. acidophilus*). Strain names are indicated next to their number on the left. Percentile color values set with 50% as median value.



Figure 3. MAUVE Alignment of all *L. mucosae* genomes available in the NCBI database. Prophage regions 1, 2 and 3 are identified as P1, P2 and P3, respectively, are shown in red boxes, with *L. mucosae* LM1 as the reference genome. Indicated in black boxes are the following: a) chromosomal inversion between strains KHPC15 and KHPX11; b) chromosomal rearrangements in strain S32^T. Numbered blocks identified in specific strains refer to referenced blocks in text.

Figure 4. Overview of the glycogen metabolism pathway in *L. mucosae* LM1. The solid arrow indicates the glycogen synthesis pathway while the dotted arrow indicates the glycogen degradation pathway. Blue indicates the presence of the enzyme in *L. reuteri* JCM 1112, orange indicates the presence of the enzyme in *L. fermentum* IF03956, while green shows the presence of the enzyme in *L. mucosae* LM1. Colourless boxes represent unknown enzymes. The gene name of the enzyme in the complete genome of LM1 is indicated. The pathway was derived from the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathways and the review presented by Goh and Klaenhammer (2014).

Figure 5. Overview of the folate biosynthesis pathway present in *L. mucosae* LM1. Blue indicates the presence of the enzyme in *L. reuteri* JCM 1112, orange indicates the presence of the enzyme in *L. fermentum* IF03956, while green shows the presence of the enzyme in *L. mucosae* LM1. Colourless boxes represent unknown enzymes. The gene name of the enzyme in the complete genome of LM1 is indicated. The pathway was derived from KEGG pathways and the review presented by Rossi et al. (2011).

Figure 6. ANI Dendrogram of closely-related lactobacilli strains to *L. mucosae* LM1. Colors represent the relative homology of proteins using BlastP using *L. mucosae* LM1 as reference strain. ANI values are identified in each branch. Strain names are given for

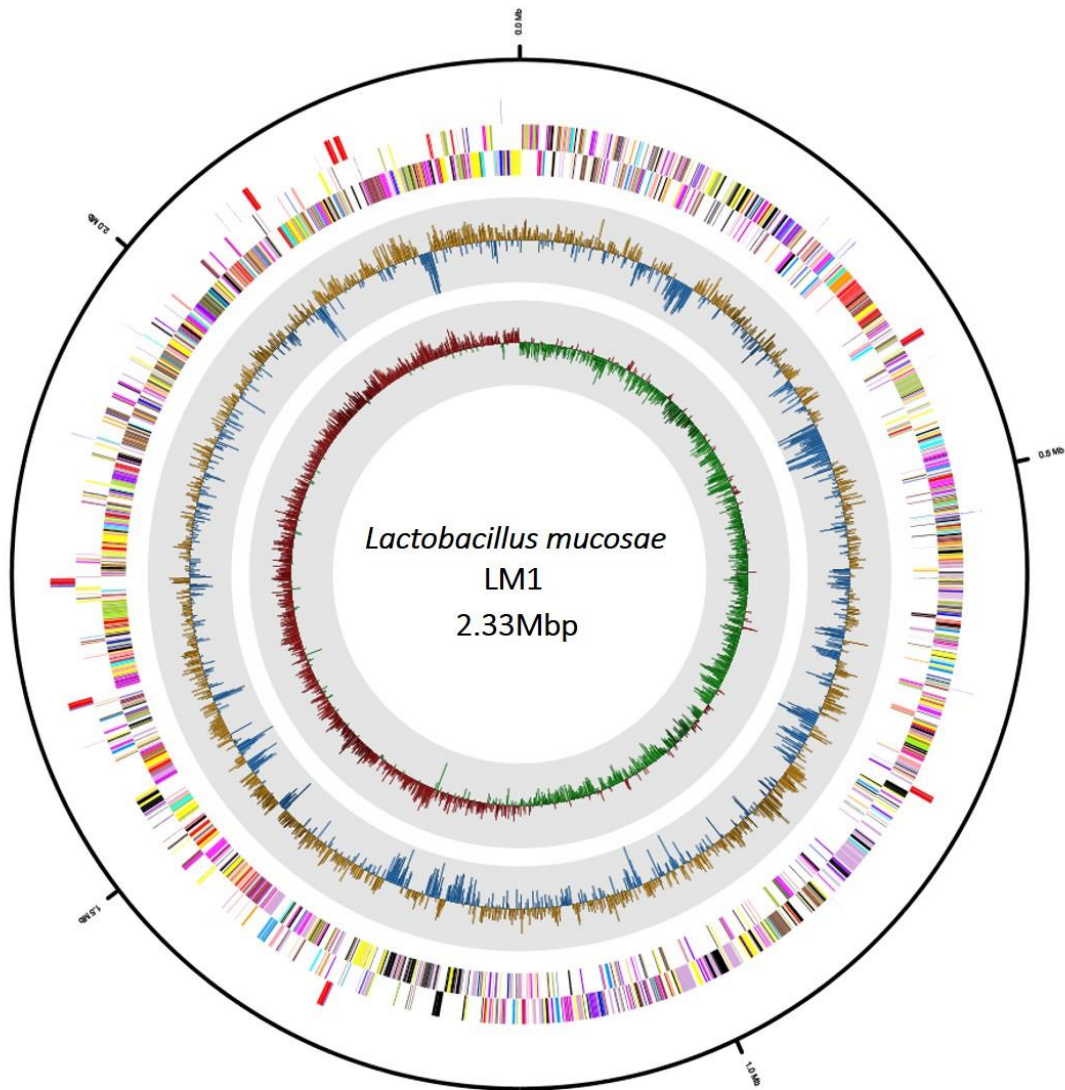


L. mucosae species DPC 6426 and CRL 573, *L. fermentum* IFO 3956 and *L. reuteri* JCM 1112. In the *L. mucosae* LM1 block, genomic islands (orange) and prophage regions (yellow) are indicated. The complete *glg* operon in LM1 is also identified in green.

ACCEPTED MANUSCRIPT



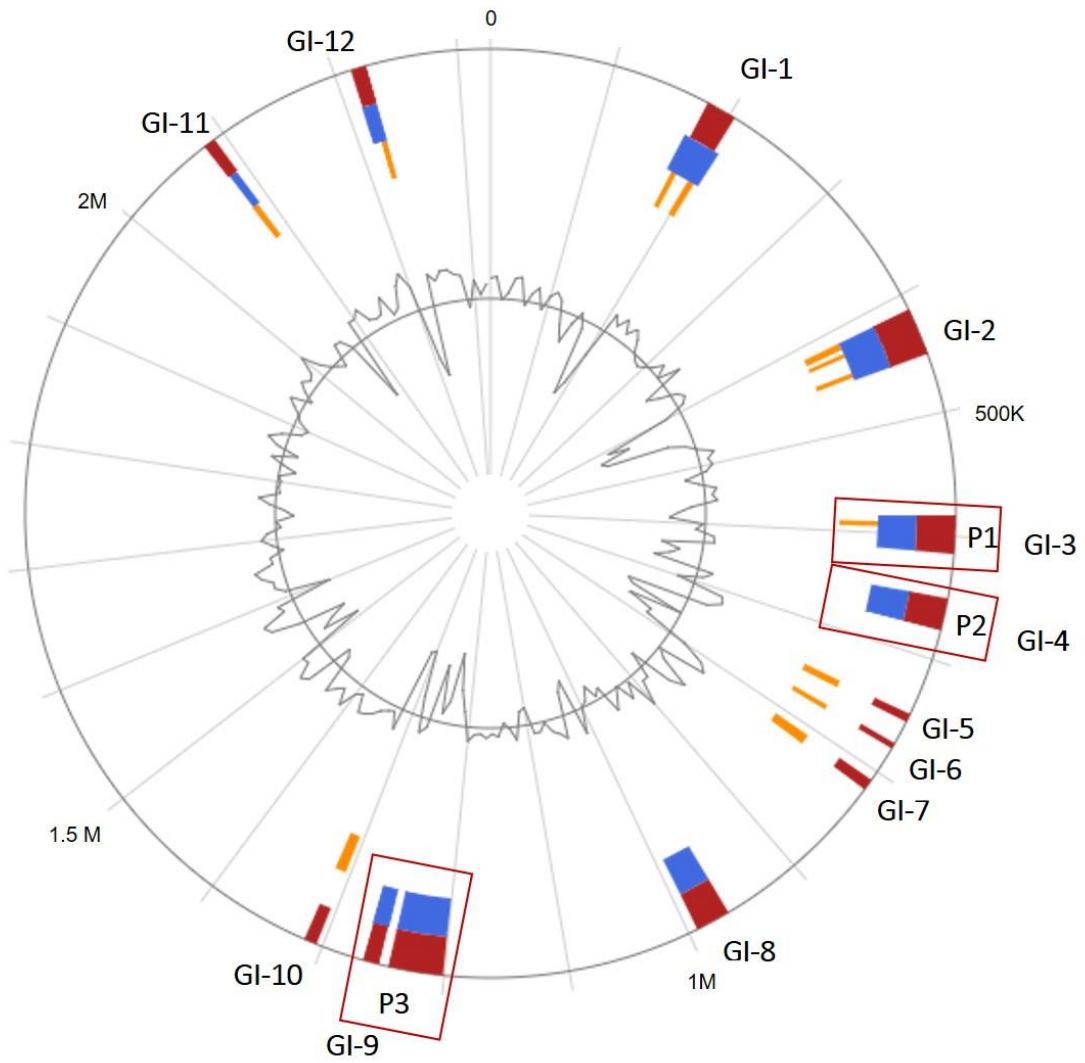
Figure 1a.



ACCL



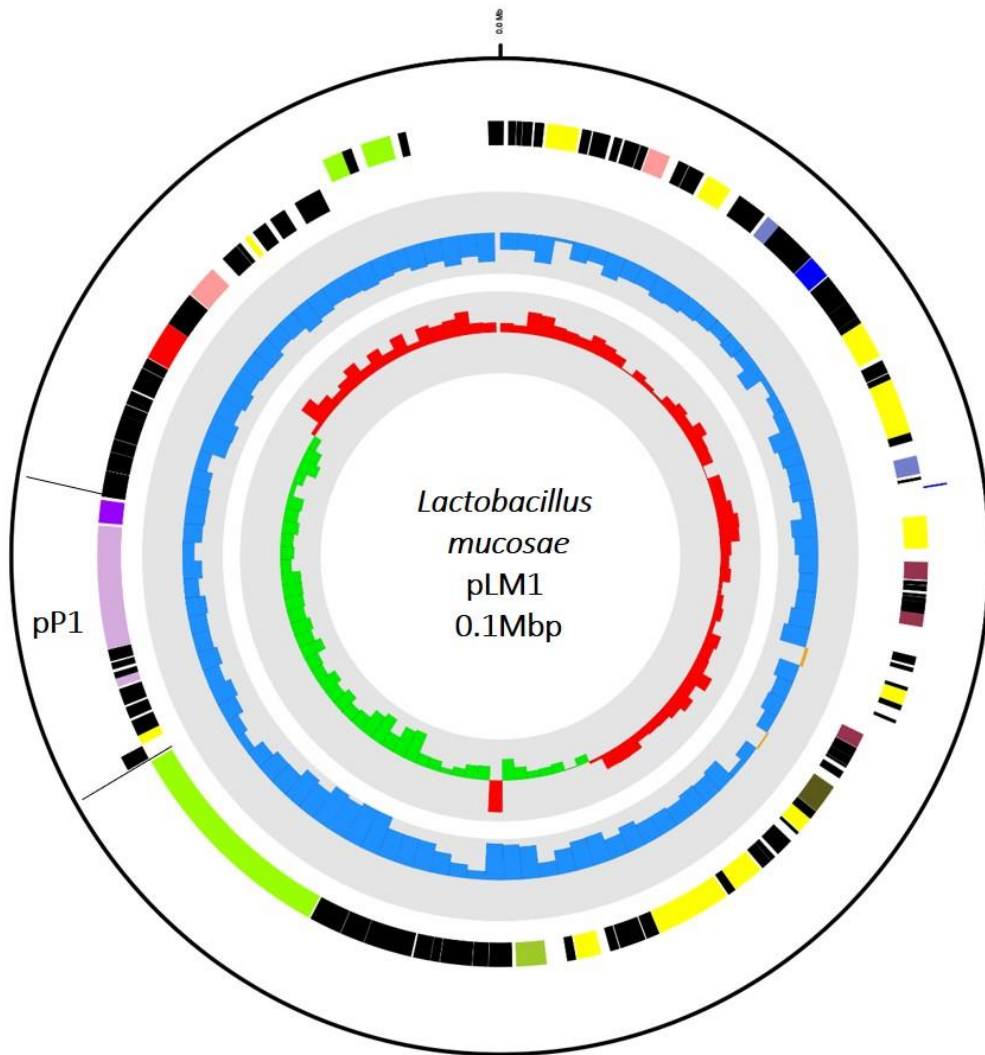
Figure 1b.



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Figure 1c.



AC



Figure 2.

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
1: LM1	X	97.72	97.37	97.32	96.00	95.84	95.80	95.83	71.89	71.26	70.64	70.55	70.92	70.82	67.82	67.41	67.55	67.69	67.80	67.32	66.61	65.62	66.30
2: 3ZT		97.37	97.17	X	97.36	97.07	96.43	95.56	70.67	70.71	70.50	69.66	70.30	70.11	68.30	66.24	66.89	66.95	66.12	67.27	66.44	65.50	65.95
3: DPC 6426		97.32	97.17	97.36	X	96.02	95.87	96	95.87	71.02	71.55	70.20	70.32	70.37	66.97	67.40	66.41	66.73	66.70	66.50	65.76	65.93	66.48
4: Marseille		96.00	95.99	97.07	96.02	X	96.36	96.15	96.19	71.44	71.37	70.27	70.69	70.42	66.98	67.35	66.68	66.93	66.41	66.82	65.98	65.98	66.32
5: CRL573		95.84	95.62	96.41	95.87	96.36	X	100.00	100.00	70.53	70.66	69.66	69.72	70.21	65.69	65.93	65.99	65.59	65.56	66.47	65.67	65.90	65.87
6: WCC8		95.80	95.49	96.35	95.70	100.00	X	100.00	70.82	70.46	70.51	69.64	70.20	69.97	65.74	65.99	65.67	65.65	66.14	66.24	65.65	65.87	65.94
7: KHPX15		95.83	95.56	96.43	95.87	96.19	100.00	X	70.29	70.52	70.36	69.59	69.97	69.76	65.90	66.16	65.70	65.87	65.35	66.19	65.65	66.02	65.79
8: KHPX11		71.89	70.67	70.92	71.02	71.44	70.53	70.82	70.29	X	99.45	98.92	69.65	69.36	67.54	67.87	67.63	67.77	66.63	67.51	67.33	65.67	66.79
9: CECT 5716		71.26	70.71	70.77	71.55	71.47	70.66	70.46	70.52	99.45	X	99.02	69.62	69.62	67.72	68.05	66.69	68.12	66.99	67.63	67.71	65.75	66.39
10: IFO 3956		70.64	70.50	70.57	70.39	71.37	70.64	70.51	70.36	98.92	99.02	X	68.94	69.02	66.37	66.27	66.48	65.98	65.57	67.14	66.03	65.99	65.69
11: 28-3 CHN		70.55	69.66	70.01	70.20	70.27	69.66	69.64	69.59	69.65	69.62	68.94	X	96.52	67.85	67.37	68.28	67.82	68.22	68.14	66.40	68.55	68.63
12: 100-23		70.92	70.30	70.39	70.32	70.69	69.72	70.20	69.97	69.36	69.57	69.02	96.52	X	67.95	68.13	67.89	68.13	67.99	68.04	66.50	67.32	67.86
13: ATCC 53608		70.82	70.11	70.71	70.37	70.42	70.21	69.97	69.76	69.84	69.62	69.17	96.07	96.22	68.16	67.32	67.58	68.02	67.82	68.16	66.35	67.95	67.94
14: JCM 1112		67.82	66.22	68.30	66.97	66.98	65.69	65.74	65.90	67.54	67.72	66.37	67.85	67.95	68.16	93.80	85.49	85.58	85.21	66.82	66.38	73.99	73.30
15: ATCC 33323		67.41	66.52	66.24	67.40	67.35	65.93	65.99	66.16	67.87	68.05	66.27	67.37	68.13	67.32	X	85.28	85.13	85.35	66.65	66.67	74.13	73.54
16: 4M13		67.55	65.98	66.89	66.41	66.68	65.99	65.67	65.70	67.63	66.69	66.48	68.28	67.89	67.58	85.49	85.28	X	97.29	97.62	67.33	66.10	74.46
17: NCC 533		67.69	66.91	66.95	66.73	66.93	65.59	65.65	65.87	67.77	68.12	65.98	67.82	68.13	68.02	85.58	85.13	97.29	X	98.10	66.43	65.54	73.62
18: DPC 6026		67.80	66.68	66.12	66.70	66.41	65.56	66.14	65.35	66.63	66.99	65.57	68.22	67.99	67.82	85.21	85.35	97.62	98.10	X	66.60	65.54	73.23
19: PF01		67.32	66.34	67.27	66.50	66.82	66.47	66.24	66.19	67.51	67.63	67.14	68.14	68.04	68.16	66.82	66.65	67.33	66.43	66.6	X	66.81	65.32
20: WCCSF1		66.61	65.5	66.44	65.76	65.98	65.67	65.65	65.65	67.33	67.71	66.03	66.40	66.50	66.38	66.67	66.10	65.54	65.54	66.81	X	65.24	66.18
21: GG		65.62	65.95	65.69	65.93	65.98	65.90	65.87	66.02	65.67	65.75	65.99	68.55	67.32	73.99	74.13	74.46	74.05	74.24	65.32	65.24	X	99.91
22: NCFM		66.30	65.55	66.26	66.48	66.32	65.87	65.94	65.79	66.79	66.39	68.63	67.86	67.94	73.30	73.54	73.56	73.62	73.23	66.37	66.18	99.91	X
23: La-14																							

Color Legend: Lowest: [Red] Percentile Color Values [Green] Highest



Figure 3.

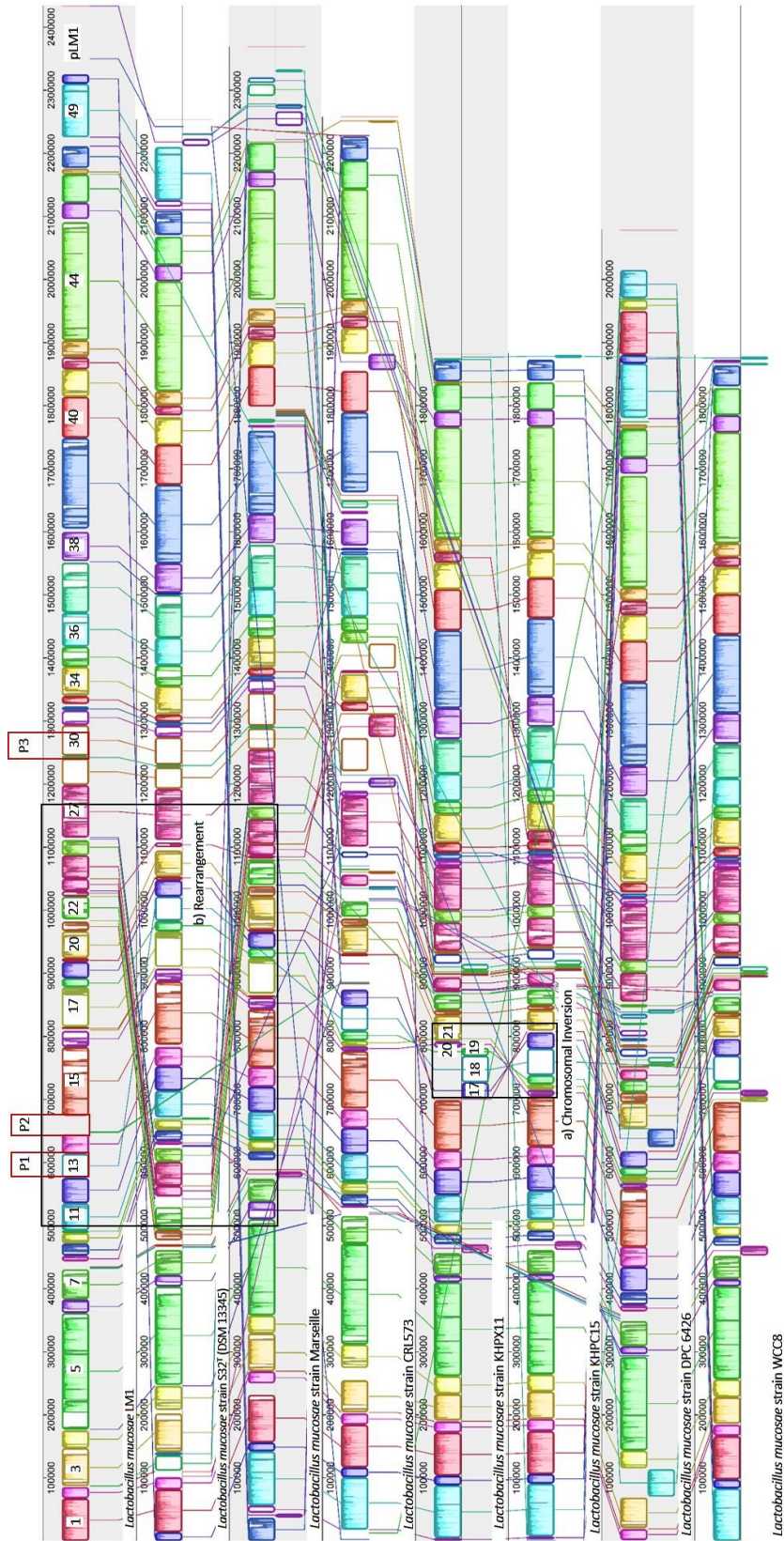




Figure 4.

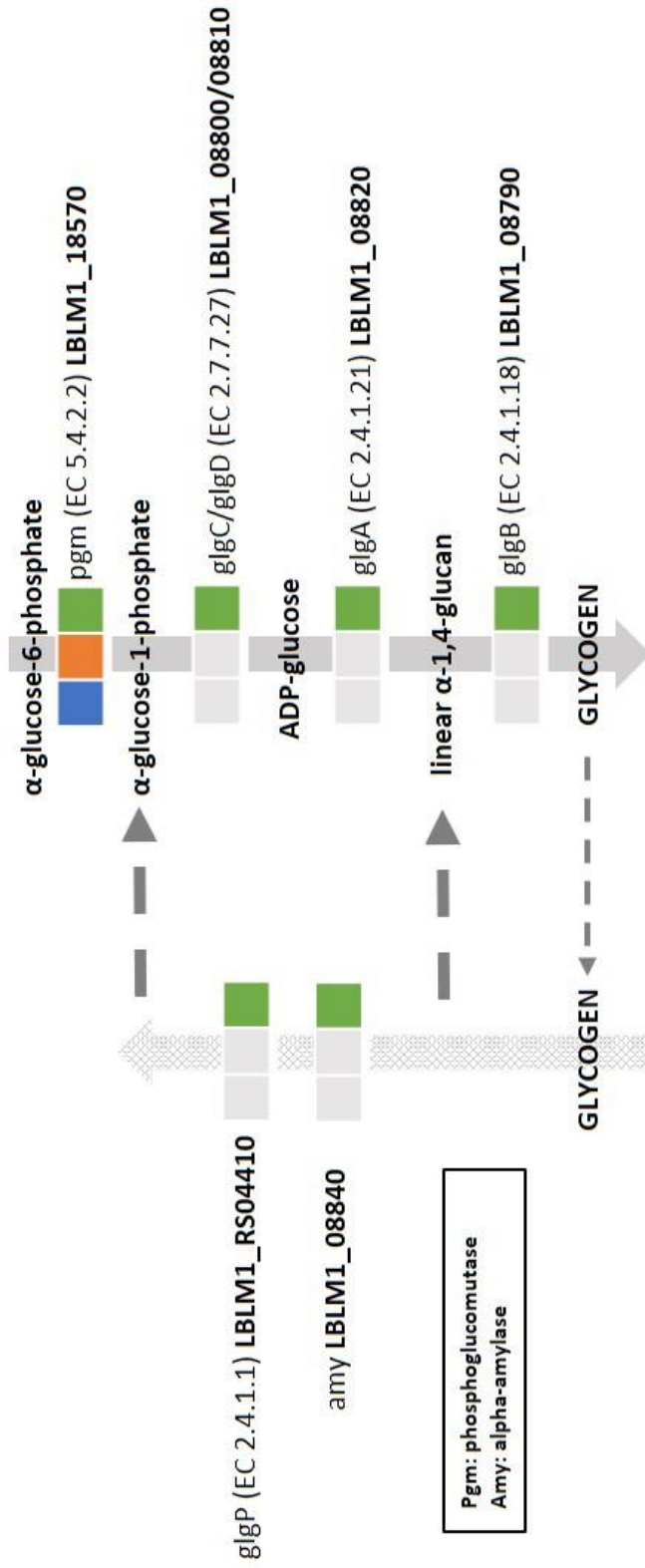




Figure 5.

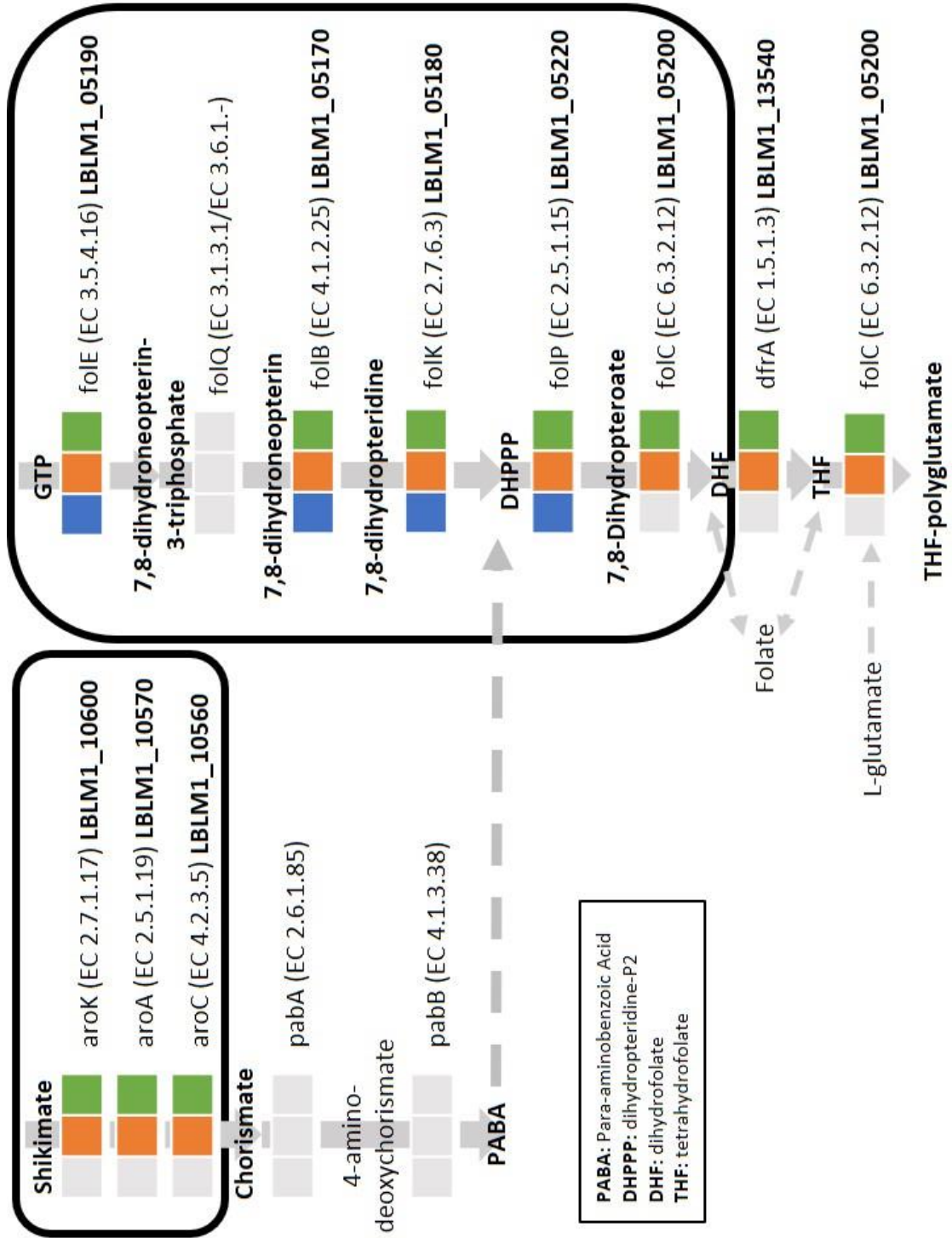
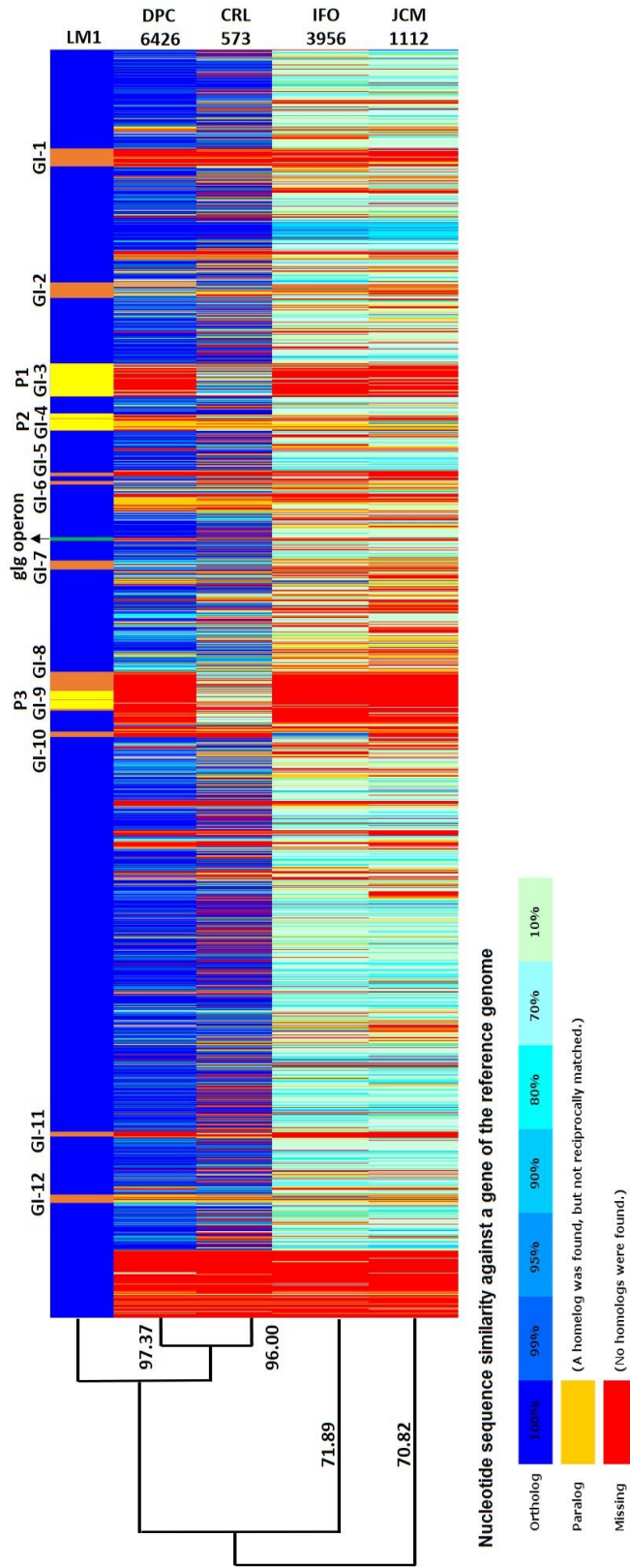




Figure 6.



WUSCRIPT



LIST OF SUPPLEMENTARY TABLES

Table S1. Genome statistics of *L. mucosae* LM1

Table S2. Number of genes associated with general COG functional categories

Table S3. Identified Genomic Island Regions and Genes in the LM1 Chromosome

Table S4. Orthologous genes in *L. mucosae* LM1 involved in inverted regions of *L. mucosae* strain KHPX11

Table S5. Orthologous genes in *L. mucosae* LM1 involved in rearranged regions of *L. mucosae* strain S32^T

LIST OF SUPPLEMENTARY FILE

File S1. Putative IS elements sequences in *L. mucosae* LM1